

# Complexity

## Lecture 35

### Sections 14.1 - 14.2

Robb T. Koether

Hampden-Sydney College

Mon, Nov 21, 2016

- 1 The Post Correspondence Problem
- 2 Complexity
- 3 Conjunctive Normal Form
- 4 The Satisfiability Problem
- 5 Assignment

# Outline

- 1 The Post Correspondence Problem
- 2 Complexity
- 3 Conjunctive Normal Form
- 4 The Satisfiability Problem
- 5 Assignment

# The Post Correspondence Problem

## Definition (The Post Correspondence Problem)

Given two sets of  $n$  strings over an alphabet  $\Sigma$ ,

$$\{w_1, w_2, w_3, \dots, w_n\}$$

and

$$\{v_1, v_2, v_3, \dots, v_n\},$$

is it possible to satisfy the equation

$$w_i w_j w_k \cdots w_m = v_i v_j v_k \cdots v_m,$$

where each  $w_i$  and  $v_i$  is any string from the respective sets?

Repetitions are allowed and not every string need be used, but they must be indexed in the same order.

# The Post Correspondence Problem

## Theorem

*The Post Correspondence Problem is undecidable.*

# The Post Correspondence Problem

## Example

- Let  $\Sigma = \{\mathbf{a}, \mathbf{b}, \mathbf{c}\}$  and let the sets be

$\{\mathbf{ba}, \mathbf{c}, \mathbf{cb}, \mathbf{b}, \mathbf{a}, \mathbf{ba}, \mathbf{ac}\}$

and

$\{\mathbf{c}, \mathbf{ca}, \mathbf{bb}, \mathbf{cb}, \mathbf{ac}, \mathbf{a}, \mathbf{b}\}$ .

# The Post Correspondence Problem

## Example

- Let  $\Sigma = \{\mathbf{a}, \mathbf{b}, \mathbf{c}\}$  and let the sets be

$\{\mathbf{ba}, \mathbf{c}, \mathbf{cb}, \mathbf{b}, \mathbf{a}, \mathbf{ba}, \mathbf{ac}\}$

and

$\{\mathbf{c}, \mathbf{ca}, \mathbf{bb}, \mathbf{cb}, \mathbf{ac}, \mathbf{a}, \mathbf{b}\}$ .

<b>ba</b>	<b>c</b>	<b>cb</b>	<b>b</b>	<b>a</b>	<b>ba</b>	<b>ac</b>
<b>c</b>	<b>ca</b>	<b>bb</b>	<b>cb</b>	<b>ac</b>	<b>a</b>	<b>b</b>

# The Post Correspondence Problem

## Example

- Let  $\Sigma = \{\mathbf{a}, \mathbf{b}, \mathbf{c}\}$  and let the sets be

$\{\mathbf{ba}, \mathbf{c}, \mathbf{cb}, \mathbf{b}, \mathbf{a}, \mathbf{ba}, \mathbf{ac}\}$

and

$\{\mathbf{c}, \mathbf{ca}, \mathbf{bb}, \mathbf{cb}, \mathbf{ac}, \mathbf{a}, \mathbf{b}\}$ .

<b>ba</b>	<b>c</b>	<b>cb</b>	<b>b</b>	<b>a</b>	<b>ba</b>	<b>ac</b>
<b>c</b>	<b>ca</b>	<b>bb</b>	<b>cb</b>	<b>ac</b>	<b>a</b>	<b>b</b>

- Can it be done?

# The Post Correspondence Problem

## Example

- Let  $\Sigma = \{\mathbf{a}, \mathbf{b}, \mathbf{c}\}$  and let the sets be

$\{\mathbf{ba}, \mathbf{c}, \mathbf{cb}, \mathbf{b}, \mathbf{a}, \mathbf{ba}, \mathbf{ac}\}$

and

$\{\mathbf{c}, \mathbf{ca}, \mathbf{bb}, \mathbf{cb}, \mathbf{ac}, \mathbf{a}, \mathbf{b}\}$ .

<b>ba</b>	<b>c</b>	<b>cb</b>	<b>b</b>	<b>a</b>	<b>ba</b>	<b>ac</b>
<b>c</b>	<b>ca</b>	<b>bb</b>	<b>cb</b>	<b>ac</b>	<b>a</b>	<b>b</b>

- Can it be done?
- If so, then we must begin with  $(w_2, v_2)$  or  $(w_5, v_5)$ .

# The Post Correspondence Problem

## Example

- Let  $\Sigma = \{\mathbf{a}, \mathbf{b}, \mathbf{c}\}$  and let the sets be

$\{\mathbf{ba}, \mathbf{c}, \mathbf{cb}, \mathbf{b}, \mathbf{a}, \mathbf{ba}, \mathbf{ac}\}$

and

$\{\mathbf{c}, \mathbf{ca}, \mathbf{bb}, \mathbf{cb}, \mathbf{ac}, \mathbf{a}, \mathbf{b}\}$ .

<b>ba</b>	<b>c</b>	<b>cb</b>	<b>b</b>	<b>a</b>	<b>ba</b>	<b>ac</b>
<b>c</b>	<b>ca</b>	<b>bb</b>	<b>cb</b>	<b>ac</b>	<b>a</b>	<b>b</b>

- Can it be done?
- If so, then we must begin with  $(w_2, v_2)$  or  $(w_5, v_5)$ . Why?

# The Post Correspondence Problem

## Example

- Let  $\Sigma = \{\mathbf{a}, \mathbf{b}, \mathbf{c}\}$  and let the sets be

$\{\mathbf{ba}, \mathbf{c}, \mathbf{cb}, \mathbf{b}, \mathbf{a}, \mathbf{ba}, \mathbf{ac}\}$

and

$\{\mathbf{c}, \mathbf{ca}, \mathbf{bb}, \mathbf{cb}, \mathbf{ac}, \mathbf{a}, \mathbf{b}\}$ .

<b>ba</b>	<b>c</b>	<b>cb</b>	<b>b</b>	<b>a</b>	<b>ba</b>	<b>ac</b>
<b>c</b>	<b>ca</b>	<b>bb</b>	<b>cb</b>	<b>ac</b>	<b>a</b>	<b>b</b>

- Can it be done?
- If so, then we must begin with  $(w_2, v_2)$  or  $(w_5, v_5)$ . Why?
- And we must end with  $(w_3, v_3)$ ,  $(w_4, v_4)$ , or  $(w_6, v_6)$ .

# The Post Correspondence Problem

## Example

- Let  $\Sigma = \{\mathbf{a}, \mathbf{b}, \mathbf{c}\}$  and let the sets be

$\{\mathbf{ba}, \mathbf{c}, \mathbf{cb}, \mathbf{b}, \mathbf{a}, \mathbf{ba}, \mathbf{ac}\}$

and

$\{\mathbf{c}, \mathbf{ca}, \mathbf{bb}, \mathbf{cb}, \mathbf{ac}, \mathbf{a}, \mathbf{b}\}$ .

<b>ba</b>	<b>c</b>	<b>cb</b>	<b>b</b>	<b>a</b>	<b>ba</b>	<b>ac</b>
<b>c</b>	<b>ca</b>	<b>bb</b>	<b>cb</b>	<b>ac</b>	<b>a</b>	<b>b</b>

- Can it be done?
- If so, then we must begin with  $(w_2, v_2)$  or  $(w_5, v_5)$ . Why?
- And we must end with  $(w_3, v_3)$ ,  $(w_4, v_4)$ , or  $(w_6, v_6)$ . Why?

# The Post Correspondence Problem

## Theorem

*The Post Correspondence Problem is undecidable.*

# The Post Correspondence Problem

## Theorem

*The Post Correspondence Problem is undecidable.*

- The Post Correspondence Problem (PCP) can be reduced to many other decision problems.
- Thus, the undecidability of PCP implies the undecidability of many other problems.

# Outline

- 1 The Post Correspondence Problem
- 2 Complexity**
- 3 Conjunctive Normal Form
- 4 The Satisfiability Problem
- 5 Assignment

# Time and Space Complexity

- There are two basic ways to measure complexity.
  - Time complexity - How much time does a program require?
  - Space complexity - How much memory does a program require?

# Time and Space Complexity

- There are two basic ways to measure complexity.
  - Time complexity - How much time does a program require?
  - Space complexity - How much memory does a program require?
- For a Turing machine,
  - Time complexity is measured by the number of transitions executed.
  - Space complexity is measured by the number of tape cells required.

# Time and Space Complexity

- There are two basic ways to measure complexity.
  - Time complexity - How much time does a program require?
  - Space complexity - How much memory does a program require?
- For a Turing machine,
  - Time complexity is measured by the number of transitions executed.
  - Space complexity is measured by the number of tape cells required.
- We will consider only time complexity.

# Time Complexity

- Typically, the number of transitions required by a Turing machine depends on the input.
- We are interested in the time complexity as a function of the size (length) of the input.
- If  $n$  is the size of the input, then we seek a function  $T(n)$  for the time complexity.

# Time Complexity

- Typically, the number of transitions required by a Turing machine depends on the input.
- We are interested in the time complexity as a function of the size (length) of the input.
- If  $n$  is the size of the input, then we seek a function  $T(n)$  for the time complexity.
- But even for inputs of the same length, the times could be different.

# Time Complexity

- Typically, the number of transitions required by a Turing machine depends on the input.
- We are interested in the time complexity as a function of the size (length) of the input.
- If  $n$  is the size of the input, then we seek a function  $T(n)$  for the time complexity.
- But even for inputs of the same length, the times could be different.
- We define  $T(n)$  to be the worst case (maximal time) for all inputs of length  $n$ .

# The Turing Machine INCR

## Example (The Turing Machine INCR)

- Recall the Turing machine INCR that incremented the input.
- $n$  is the number of bits in the number.
- $n$  transitions are needed to reach the right end of the number.
- At most,  $n$  transitions are needed to change 1's to 0's and then a 0 to 1.
- The worst case is when all the bits are 1. That case requires one additional transition, to write a 1 at the left end of the string of 0's.
- Thus,  $T(n) = 2n + 1$ .

# $O$ , $\Omega$ , and $\Theta$

- In general, it is too tedious, and often not possible, and not really necessary to compute exactly the function  $T(n)$ ,
- Our primary concern is not the exact value of  $T(n)$ , but  
how fast  $T(n)$  increases as  $n$  increases.
- Thus, it is enough to be able to say that  $T(n) \in O(f(n))$  or  $T(n) \in \Theta(f(n))$  for some known function  $f(n)$ .

## Definition ( $O$ (At least as fast as...))

The function  $T(n) \in O(f(n))$  for some function  $f(n)$  if there exists a constant  $c$  such that  $T(n) \leq cf(n)$  for all  $n \geq n_0$  for some  $n_0$ .

# $O$ , $\Omega$ , and $\Theta$

## Definition ( $O$ (At least as fast as...))

The function  $T(n) \in O(f(n))$  for some function  $f(n)$  if there exists a constant  $c$  such that  $T(n) \leq cf(n)$  for all  $n \geq n_0$  for some  $n_0$ .

## Definition ( $\Omega$ (At least as slow as...))

The function  $T(n) \in \Omega(f(n))$  for some function  $f(n)$  if there exists a constant  $c$  such that  $T(n) \geq cf(n)$  for all  $n \geq n_0$  for some  $n_0$ .

# $O$ , $\Omega$ , and $\Theta$

## Definition ( $O$ (At least as fast as...))

The function  $T(n) \in O(f(n))$  for some function  $f(n)$  if there exists a constant  $c$  such that  $T(n) \leq cf(n)$  for all  $n \geq n_0$  for some  $n_0$ .

## Definition ( $\Omega$ (At least as slow as...))

The function  $T(n) \in \Omega(f(n))$  for some function  $f(n)$  if there exists a constant  $c$  such that  $T(n) \geq cf(n)$  for all  $n \geq n_0$  for some  $n_0$ .

## Definition ( $\Theta$ (Just as fast as...))

The function  $T(n) \in \Theta(f(n))$  for some function  $f(n)$  if there exists constants  $c_1$  and  $c_2$  such that  $c_1f(n) \leq T(n) \leq c_2f(n)$  for all  $n \geq n_0$  for some  $n_0$ .

# Outline

1 The Post Correspondence Problem

2 Complexity

**3 Conjunctive Normal Form**

4 The Satisfiability Problem

5 Assignment

# The Satisfiability Problem

## Definition (The Satisfiability Problem)

Given a boolean expression  $e$  in Conjunctive Normal Form, the **Satisfiability Problem (SAT)** asks whether  $e$  is true for some choice of boolean values of its variables, i.e, is  $e$  “satisfiable?”

# Conjunctive Normal Form

## Definition (Conjunctive Normal Form)

A boolean expression  $e$  is in **conjunctive normal form (CNF)** if

$$e = t_1 \wedge t_2 \wedge \cdots \wedge t_n$$

where for each term (or clause)  $t_i$ ,

$$t_i = s_{i1} \vee s_{i2} \vee \cdots \vee s_{im},$$

where each  $s_{ij}$  is a boolean variable or its negation.

- **Disjunctive normal form (DNF)** is similar, with  $\wedge$  and  $\vee$  reversed.
- Some definitions require that every variable  $x$  appear in every clause either as  $x$  or as  $\bar{x}$ .

# Conjunctive Normal Form

## Example (Examples)

- Let the variables be  $x_1$ ,  $x_2$ , and  $x_3$ .
- An example

$$(x_1 \vee x_2) \wedge (\overline{x_1} \vee x_3) \wedge (\overline{x_3})$$

- Convert the expression

$$(x_1 \vee \overline{x_3}) \wedge (\overline{x_1} \vee x_2 \vee x_3)$$

from CNF to DNF.

# Converting CNF to DNF

- To convert  $e$  from CNF to DNF,
  - Apply DeMorgan's Law to  $e$ .

$$\begin{aligned}\bar{e} &= \overline{(x_1 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee x_2 \vee x_3)} \\ &= (\bar{x}_1 \wedge x_3) \vee (x_1 \wedge \bar{x}_2 \wedge \bar{x}_3) \\ &= (\bar{x}_1 \wedge x_2 \wedge x_3) \vee (\bar{x}_1 \wedge \bar{x}_2 \wedge x_3) \vee (x_1 \wedge \bar{x}_2 \wedge \bar{x}_3).\end{aligned}$$

# Converting CNF to DNF

- To convert  $e$  from CNF to DNF,
  - Write the truth table for  $\bar{e}$  and  $e$ .

$x_1$	$x_2$	$x_3$	$\bar{e}$	$e$
1	1	1	0	1
1	1	0	0	1
1	0	1	0	1
1	0	0	1	0
0	1	1	1	0
0	1	0	0	1
0	0	1	1	0
0	0	0	0	1

# Converting CNF to DNF

- To convert  $e$  from CNF to DNF,
  - Select the combinations that make  $e$  true.

$x_1$	$x_2$	$x_3$	$\bar{e}$	$e$
1	1	1	0	1
1	1	0	0	1
1	0	1	0	1
1	0	0	1	0
0	1	1	1	0
0	1	0	0	1
0	0	1	1	0
0	0	0	0	1

# Converting CNF to DNF

- To convert  $e$  from CNF to DNF,
  - Write  $e$  in DNF based on the table.

$$e = (x_1 \wedge x_2 \wedge x_3) \vee (x_1 \wedge x_2 \wedge \bar{x}_3) \vee (x_1 \wedge \bar{x}_2 \wedge \bar{x}_3) \\ \vee (\bar{x}_1 \wedge x_2 \wedge \bar{x}_3) \vee (\bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_3).$$

# Converting CNF to DNF

- To convert  $e$  from CNF to DNF,
  - Write  $e$  in DNF based on the table.

$$e = (x_1 \wedge x_2 \wedge x_3) \vee (x_1 \wedge x_2 \wedge \bar{x}_3) \vee (x_1 \wedge \bar{x}_2 \wedge \bar{x}_3) \\ \vee (\bar{x}_1 \wedge x_2 \wedge \bar{x}_3) \vee (\bar{x}_1 \wedge \bar{x}_2 \wedge \bar{x}_3).$$

- The procedure can be reversed to convert DNF to CNF.

# Outline

- 1 The Post Correspondence Problem
- 2 Complexity
- 3 Conjunctive Normal Form
- 4 The Satisfiability Problem**
- 5 Assignment

# The Satisfiability Problem

## Definition (The Satisfiability Problem)

Given a boolean expression  $e$  in CNF, the **Satisfiability Problem (SAT)** asks whether  $e$  is satisfiable.

# The Satisfiability Problem

- One method to decide the problem is to try “true” and “false” for each of the  $n$  variables.
- There are  $2^n$  possible combinations, so the run time is exponential.
- Another method is to convert  $e$  from CNF to DNF, at which point the answer is obvious.
- How efficiently can we convert from CNF to DNF?

# Outline

- 1 The Post Correspondence Problem
- 2 Complexity
- 3 Conjunctive Normal Form
- 4 The Satisfiability Problem
- 5 Assignment**

# Assignment

## Homework

- Section 14.1 Exercises 1, 2.
- Section 14.2 Exercises 4, 5.